

EXEMPT FROM IDENTIFICATION CHECKLIST

Client No./Matter No.: _____

Matter Description: _____

Client Name: _____

Responsible Lawyer: _____

Verification Conducted By: _____

This Form Completed By: _____

Date Completed: _____

I believe this file is exempt from identification. Rule 5-117(2)

There is no need to identify my client if my answer to one of the following questions is yes:

1. I am acting on behalf of my employer and am engaging in or giving instructions in respect of *financial transactions* solely in that capacity. Rule 5-117(2)(a) Yes No

2. The legal services I am providing do not involve a *financial transaction* and I am providing services as part of a duty counsel program sponsored by a non-profit organization. Rule 5-117(2)(b)(i) Yes No

3. The legal services I am providing do not involve a *financial transaction* and I am providing services in the form of pro-bono summary advice. Rule 5-117(2)(b)(ii) Yes No

4. I have been engaged as an agent by another lawyer to provide legal services to the client and the other lawyer has already complied with the rules relating to client identification and verification (either in Manitoba or in that lawyer's own jurisdiction). Rule 5-117(2)(c)(i) Yes No

5. The client has been referred to me to provide legal services by another lawyer and the other lawyer has already complied with the rules relating to client identification and verification (either in Manitoba or in that lawyer's own jurisdiction). Rule 5-117(2)(c)(ii) Yes No